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CUSTOMER NUMBER 25268

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Phillip Burwell et al. Attorney Docket No. CHEN0189

Serial No.: 10/799,357 Group Art Unit: 2875

Filed: March 12, 2004 Examiner:

Title: LIGHT GENERATING DEVICE TO INTRAVASCULAR USE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

December 1, 2004

TO THE COMMISSIONER FOR PATENTS:

Applicant is aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- ☒ 1. Copies of the listed non-U.S. patent publications and other information are enclosed for the Examiner's use.
- ☐ 2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. § 120.
- ☐ 3. A concise explanation of the relevance of document I.D. No. \_\_\_\_\_ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided \_\_\_\_\_.
- ☒ 4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
- ☐ 5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, and is accompanied by:
- a. \_\_\_\_\_ a certification as specified in 37 C.F.R. § 1.97(e); or
- b. \_\_\_\_\_ the fee set forth in 37 C.F.R. § 1.17(p). Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ is enclosed.

1     \_\_\_\_\_ 6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed  
2     after the mailing date of either:

3 a. \_\_\_\_\_ a final action under 37 C.F.R. § 1.113; or

4 b. \_\_\_\_\_ a notice of allowance under 37 C.F.R. § 1.311,

but before payment of the issue fee. The statement is accompanied by a  
6 certification as specified in 37 C.F.R. § 1.97(e), a statement requesting  
7 consideration of the information disclosure statement, and the petition fee set  
8 forth in 37 C.F.R. § 1.17(p). Check No. \_\_\_\_\_ in the amount of  
\$\_\_\_\_\_ is enclosed.

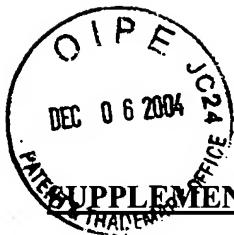
9 X 7. Please charge any additional fees or credit any overpayment to Deposit Account  
10 No. 01-1940. A copy of this sheet is enclosed.

Respectfully submitted,

Michael C. King  
Registration No. 44,832

18 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed  
19 envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner for Patents, P.O.  
Box 1450, Alexandria, Virginia 22313-1450, on December 1, 2004

20 Date: December 1, 2004



**CUSTOMER NUMBER 25268**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT LISTING SHEET**

**Information Cited By Applicant(s) That May Be Material To  
The Prosecution Of The Subject Application**

Applicants: Phillip Burwell et al. Attorney Docket No. CHEN0189  
Serial No.: 10/799,357 Group Art Unit: 2875  
Filed: March 12, 2004 Examiner:  
Title: LIGHT GENERATING DEVICE TO INTRAVASCULAR USE

**U.S. PATENT DOCUMENTS**

<u>*Examiner Initial</u>	<u>ID</u>	<u>Document No.</u>	<u>Date</u>	<u>Inventor Name(s)</u>	<u>Class</u>	<u>Sub- Class</u>
	US1	5,800,478	09/01/98	Chen et al.	607	88
	US2	5,779,697	07/14/98	Glowa et al.	606	185
	US3	5,129,889	07/14/92	Hahn et al.	604	265
	US4	6,171,299	01/09/01	Bonutti	606	1
	US5	5,947,958	09/07/99	Woodard et al.	606	15
	US6	6,058,323	05/02/00	Lemelson	600	408

**FOREIGN PATENT DOCUMENTS**

<u>*Examiner Initial</u>	<u>ID</u>	<u>Document No.</u>	<u>Date</u>	<u>Inventor Name(s)</u>	<u>Class</u>	<u>Sub- Class</u>
	EP1	0 755 697 A2	22.07.1996	Hashimoto et al.	A61N5	06

**OTHER INFORMATION**

*NONE CITED*

\_\_\_\_\_  
Examiner's Signature

\_\_\_\_\_  
Date

\*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

MCK/RMA:lrg  
12/1/2004